

# CURRICULUM VITAE: SHUJIE YAO

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## PERSONAL INFORMATION

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Cheong Kong Scholar Special Professor of Economics, School of Economics and Business Administration. 教育部国家级人才计划特聘教授（2014 年）

Liaoning University, Senior chair professor, Dean of Li Anmin Institute of Economic Research

Special Chair Professor: Chongqing University, Fudan University, Xi'an Jiaotong University, South China Agricultural University, and the University of Nottingham, Ningbo China

### Honorable Positions in China

入选爱思唯尔 2020-2024 年连续多年高被引学者, 进入 2020-2023 年连续多年全球 2% 顶科学家终身和年度排行榜, 英国近代千名优秀经济金融管理领域科学家 (261 名), 位列经济学诺贝尔奖得主 James Mirrlees 教授之后。

教育部国家级人才计划特聘教授 (2014 年), 重庆市首席专家工作室领衔专家 (2014 年), 重庆英才-优秀科学家 (2021 年)。

第九届张培刚发展经济学优秀成果奖 (2021 年), 安子介国际贸易优秀成果奖 (2018 年); 重庆市哲学社会科学优秀成果一等奖 (2024 年、2022 年)、二等奖 (2024 年、2022 年)、三等奖 (2006 年、2018 年)。

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## MAIN TEACHING AND RESEARCH INTERESTS

Quantitative Methods and Applied Econometrics

Development and Agricultural Economics

Microeconomics/Business Economics/International Business and Finance

Economic Growth, Income Distribution and Poverty

Economic Issues in Contemporary China

Research Methodologies for Postgraduate Students

## EDUCATION AND SCHOLARSHIPS

1987-1989 Ph.D, University of Manchester

1985-1987 M.A (Economics), University of Manchester (Merit)

1978-1982 B.Sc South China University of Tropical Agriculture (First Class)

1985-1987 Overseas Study Studentship, Ministry of Education, P.R. China

1987-1989 ORS studentship and Manchester University Studentship

## CAREER EXPERIENCES

2014- Chueng Kong Special Chair Professor, Chongqing University

2006-2014 Professor of economics and Chinese sustainable development, University of Nottingham

2001-2006 Professor of economics, chair of economics, University of Middlesex

1999-2001 Professor of development economics, University of Portsmouth

1992-1998 Lecturer/senior lecturer/reader in economics, University of Portsmouth

1990-1992	Research fellow, Queen Elizabeth House, University of Oxford
1989-1990	Research fellow, Institute of Economics, University of Oxford
1983-1985	Lecturer, Department of Economics, South China University of Tropical Agriculture

## ADMINISTRATIVE EXPERIENCES

- 2015- Associate Dean, Faculty of Social Sciences, University of Chongqing, China  
Cheong Kong Scholar Special Professor, University of Chongqing, China
- 2007-2014 Head, School of Contemporary Chinese Studies, University of Nottingham, Nottingham, UK
- 2001-2006 Head of Economics Department, Middlesex University, London
- 1994-2001 Director, Development Economics Research, University of Portsmouth
- 1993-1994 Director, Postgraduate Programme, Dept of Economics, University of Portsmouth
- 1997-1998 President, Chinese Economic Association (UK)
- 1998-2008 Executive director, Chinese Economic Association (UK)

## ACADEMIC ESTEEM

- *Cambridge Journal of China Studies*, Editor-in-Chief, from 2013
- Palgrave (MacMillan) *China Policy Book Series Editor* (jointly with Steve Tsang), from 2011.
- *Journal of Comparative Economics*, Member of Editorial Board from 2006-2009 (US)
- *Food Policy*, Member of International Editorial Board from 1995-2011 (UK)
- *Journal of Contemporary China* (US), Editorial Member, from 2010
- *Journal of Chinese Economic and Business Studies*, Editor from 2001 (UK)
- *The Panoeconomicus*, Editorial Member, from 2015
- *Journal of Modern Economic Sciences*, 《当代经济科学》, Chairman of Academic Board, from 2015 (China)
- *Journal of Xian Jiaotong University, Social Sciences*, 《西安交通大学学报（社科版）》 Member of Advisors of the Editorial Board, and Chief Editor of the Economics Column (Xi'an Jiaotong University, China), from 2004
- *Fudan Economics Papers*, 《复旦经济文汇》, Academic Member from 2007 (Fudan University, China)
- *Economic Research Journal* 《经济研究》, Member of Editorial Board, from 2013 (Chinese Academy of Social Sciences, China). This is the best economics journal in China.

## PUBLICATIONS

### A. Books (monographs)

1. 李政, 姚树洁, 宋有涛, 《生态安全导论》, 清华大学出版社, 2024 年, 北京。
2. 姚树洁, 房景, 《新时代高品质生活的重庆实践》, 重庆出版社, 2024 年, 重庆。
3. 姚树洁, 王洁菲, 《中国精准脱贫战略及效果》, 中国社会科学文献出版社, 2022 年 (北京)。
4. 姚树洁, 姜春霞, 《中国银行改革》(欧璟华译), 商务印书馆, 2022 年 (北京)。
5. 张帆, 姚树洁, 《高速铁路与中国区域经济发展》, 社会科学文献出版社, 2020 年 (重庆)。
6. 姚树洁, 王攀, 宋林, 《中国对外投资与经济发展》, 中国社会科学文献出版社, 2015 年 (北京)。
7. Jiang, Chunxia, Yao, Shujie (2017), *Banking Reform and Development in China*, Palgrave-MacMillan (331 pages, ISBN 978-3-319-63924-6).

8. Yao, Shujie; Wang, Pan (2014), *China's Outward Foreign Direct Investments*, Palgrave, Palgrave-MacMillan (273 pages, ISBN, 978-1-137-32109-1).
9. Yao, Shujie; Zhongwei, Han; and Dan, Luo (2010), *Efficiency Analysis of the Insurance Industry in China under Economic Reforms*, Edward Elgar (300 pages, ISBN 9781847203816).
10. Lei, Chun Kwok; Shujie, Yao (2009) *Economic convergence of greater China*, RoutledgeCurzon (Oxford, New York, 234 pages), ISBN10: 0-415-43581-1; ISBN13: 978-0-415-43581-9.
11. Yao, Shujie (2005) *Economic growth, income distribution and poverty reduction in China under economic reforms*, RoutledgeCurzon, ISBN 0-415-33196-X.
12. Yao, Shujie (1994) *Agricultural Reform and Grain Production in China*, Macmillan Press (London), ISBN 0-312-12370-1.
13. Hay, Donald; Derik, Morris; Guy, Liu; and Shujie, Yao (1994) *Economic Reform and State-owned Enterprises in China 1979-87*, Oxford University Press (Oxford), ISBN 0-19-828845-X.

#### **Books (edited)**

1. Yao, Shujie; Maria Jesus Herrerias (2014), *Energy efficiency and sustainable economic growth in China*, Palgrave-MacMillan (330 pages, ISBN, 978-0-230-36922-1).
2. Yao, Shujie; Jian, Chen (2013) *Globalisation, competition and growth in China*, RoutledgeCurzon (new paperback version), ISBN10: 0-415-35197-9; ISBN13: 9-78-0-415-35197-3.
3. Yao, Shujie; Bin, Wu and Jian, Chen (2013), *New Perspectives on China's Development in the Post-Crisis Period*, RoutledgeCurzon (Oxford and New York, 263pages), ISBN 978-0-415-66567-4 (hbk), 978-0-203-55223-0 (ebk).
4. Greenaway, David; Chris, Milner; Shujie Yao (2010) *China and the World Economy*, Palgrave (Basingstoke, UK; New York, USA; 250 pages), ISBN 978-0-230-52152-0.
5. Yao, Shujie; Bin, Wu; S. Morgan; and D. Sutherland (2010), *Sustainable reform and development in post-Olympic China*, RoutledgeCurzon (Oxford, New York, 244 pages), ISBN: 978-0-415-55956-0.
6. Yao, Shujie; Jian, Chen (2006) *Globalisation, competition and growth in China*, RoutledgeCurzon, ISBN10: 0-415-35197-9; ISBN13: 9-78-0-415-35197-3.
7. Yao, Shujie; Ximing, Liu (2003) *Sustainable economic development in China into the 21st century*, RoutledgeCurzon.
8. Cook, Sarah; Shujie, Yao; and Juzhong, Zhuang (2000) *Chinese Economy under Transition*, Macmillan Press, ISBN 0-333-71502-0.

#### **B. Refereed Journal articles (English Journals)**

1. Chen C, Yuting L, Bowei S, **Yao Shujie\***. Peer effect of fund trading and the risk of individual stock[J]. *Journal of Asian Economics*, 2025, 97, 101867.
2. Liu D, Chen C, **Yao Shujie\***, Yu N. Environmental litigation risk premium in corporate equity financing costs[J]. 2025, *Energy Economics*, 143, 108229.
3. Liu D, Xiong Y, Wang L, **Yao Shujie\***. Corporate carbon risk and green M&As[J]. *Research in International Business and Finance*, 2025, 75, 102714.
4. Fang J, Fu F, Yang X, **Yao Shujie\***. Do China's greenfield foreign direct investments promote short-term innovation output growth in host countries?[J]. *Economics Letters*, 2025, 247, 112206.
5. He H, Chen Y, Ou J, **Yao Shujie\***. Investing in relative market positions in interconnected financial markets: A strategy for international portfolio diversification[J]. *Pacific Basin Finance Journal*, 2025, 89, 102588.

6. Zhang F, Wang F, **Yao Shujie**. Does government-driven infrastructure boost green innovation? Evidence of new infrastructure plan in China[J]. *Journal of Asian Economics*, 2024, 95, 101828.
7. Liu D, Yu N, Wan H, **Yao Shujie**, Wang Q. Water rights trading and corporate productivity: Evidence from a quasi-natural experiment of China's pilot policy[J]. *Journal of Economic Survey*, 2024, 38(5): 1846-1872.
8. Fu F, Fang J, Yang M, **Yao Shujie\***. Institutional investor horizons and stock price crash risk[J]. *Research in International Business and Finance*, 2024, 72, 102509.
9. Fang J, Fu F, Zhang X, **Yao Shujie\***, Ou J. Impact of high-speed rail on the mismatch of labor and industry allocations: Evidence from Chinese cities in 2000-2019[J]. *Journal of Asian Economics*, 2024, 92, 101744
10. Fu F, Fang J, Zhang F, **Yao Shujie\***, Ou J. CEOs' hometown connections and corporate risk-taking: Evidence from China[J]. *Emerging Markets Review*, 2024, 60, 101129.
11. Fan L, Ou J, Yang G, **Yao Shujie\***. Digitalization and outward foreign direct investment of Chinese listed firms[J]. *Review of International Economics*, 2024, 32(2): 604–634.
12. Fang J, Fu F, Zhang X, **Yao Shujie\***, Ou J. Impact of high-speed rail on the mismatch of labor and industry allocations: Evidence from Chinese cities in 2000-2019[J]. *Journal of Asian Economics*, 2024: 101744.
13. Fang J, Lu Y, **Yao Shujie\***. Can regional cooperation mitigate the impact of COVID - 19 pandemic on greenfield investments? Evidence from the belt and road initiative[J]. *The World Economy*, 2024, 47(3): 1004 – 1031.
14. Hong T, Chen X, Wang Z T, **Yao Shujie\***. Impact of green technology innovation on carbon emissions: evidence from China's 276 cities[J]. *International Journal of Technology Management*, 2024, 95(1/2): 11–34.
15. Zheng, Yu., Alan, Collins, **Yao, Shujie\***. Promoting sustainable and high-quality economic development in China via regional innovation poles. *Journal of Regional Science*, 2024. (Forthcoming)
16. Zheng, Yu., **Yao, Shujie\***, Fu, Fanjie. Digital economy, industrial agglomeration and high-quality development. *Applied Economics Letters*, 2024. (Early Access)
17. Zhang, Fan., Wang, Feng., **Yao, Shujie.**, Fu, Fanjie. High-speed rail and tourism expansion in China: a spatial spillover effect perspective. *Technological and Economic Development of Economy*, 2023. (Early Access)
18. Zhang, Xiaoqian., **Yao, Shujie\*.**, Zheng, Weiwei., Fang, Jing. On industrial agglomeration and industrial carbon productivity --- impact mechanism and nonlinear relationship. *Energy*, 2023(283), 129047.
19. Chen, Chuanglian., Wang, Shudan., **Yao, Shujie.**, Lin, Yuting. Does digital transformation increase the labor income share? From a perspective of resources reallocation. *Economic Modeling*, 2023(128), 106474.
20. Liu, Duan., Wang, Qihong., Wang, Aidi., **Yao, Shujie\***. Export profitability and firm R&D: on China's export diversification under trade war. *Structural Change and Economic Dynamics*, 2023(67), 151-166.
21. Liu, Duan., Jiang, Yuntian., Zhou, Qianzhen., **Yao, Shujie\***. R&D investment management and ambidextrous technological innovation: Evidence from Chinese listed firms. *International Review of Economics & Finance*, 2023(88), 843-860.
22. Liu, Duan., Wang, Chen., Zhang, Hui., **Yao, Shujie\*.**, Li, Zixin. Financial market imperfections and sensitivity of cash holdings to R&D investment: Evidence from Chinese listed firms. *Research in International Business and Finance*, 2023(66), 102025.
23. He, Hongbo., Chen, Yiqing., Wan, Hong., **Yao, Shujie\***. Possibility versus feasibility: International portfolio diversification under financial liberalization. *International Review of Financial Analysis*, 2023(87), 102652.

24. **Yao, Shujie.**, Zhang, Xiaoqian., Wang, Zongdi Toby. On economic agglomeration and carbon productivity in Chinese cities: a spatial econometric analysis. *Environment Development and Sustainability*, 2023. (Early Access)
25. **Yao, Shujie.**, Zhang, Xiaoqian., Zheng, Weiwei. On transportation, economic agglomeration, and CO2 emissions in China, 2003-2017. *Environment Science and Pollution Research*, 2023, 30(14), 40987-41001.
26. Fu, Fanjie., Alan, Collins., **Yao, Shujie\***. Building an open and stable capital market: A supervision intensity perspective. *Journal of the Asia Pacific Economy*, 2022, 27(3), 551-584.
27. Zhang, Fan., Zhang, Xuanyue., **Yao, Shujie\***. How high-speed rail reduces haze pollution in China. *Applied Economics Letters*, 2022, 30(16), 2216-2219.
28. Xiang, Hongjin., Yanxiang Kuang, Hongbo He, **Yao, Shujie\***. Can tariffs reduce overcapacity and environmental pollution : Evidence from China's adjustment of tariffs on coal. *Economic Analysis and Policy*, 2022(75), 129-144.
29. Fang, Jing., Ou, Jinghua., **Yao, Shujie\***. On Covid-19 Pandemic and China's foreign trade. *The World Economy*, 2022, 45(11), 3507-33.
30. Fu, Fanjie., **Yao, Shujie\***., Fang, Jing, Zheng, Yu., Toby Wang. CEOs' hometown connections and stock price crash risk, evidence from emerging markets. *Borsa Istanbul Review*, 2022, 22(6), 1079-1097.
31. Zhang, Fan., **Yao, Shujie.**, Wang, Feng. The role of high-speed rail on green total factor productivity: evidence from Chinese cities. *Environment Science and Pollution Research*, 2022, 30(6), 15044-15058.
32. **Yao, Shujie.**, Zhang, Shuai., Energy mix, financial development and carbon emissions in China: a directed technical change perspective. *Environment Science and Pollution Research*, 2021, 28(44), 62959-62974.
33. Fan, Zhang., Feng Wang, **Yao, Shujie\***. High-speed rail accessibility and haze pollution in China: a spatial econometrics perspective. *Transportation Research Part D*, 2021(94), 02802.
34. Fang, Jing., Collins Alan, **Yao, Shujie\***. On the global COVID-19 pandemic and China's FDI. *Journal of Asian Economics*, 2021(74), 101300.
35. **Yao, Shujie.**, Yan, X., Lei, C. K., Wang, Feng. High-speed railway and tourism development in China. *Tourism Economics*, 2021, 28(6), 1520-1544.
36. **Yao, Shujie** , Fang, Jing., He, Hongbo. Can time-space compression promote urban economic growth? evidence from China's High-speed Rail Projects. *China & World Economy*, 2020, 28(5), 90-117.
37. **Yao Shujie**, Zhang, Shuai., Zhang, Xingmin., Renewable energy, carbon emission and economic growth: a revised environmental Kuznets curve perspective. *Journal of Cleaner Production*, 2019(235), 1338-1352.
38. Yang, Yan., **Yao, Shujie\***., He, Hongbo., Ou, Jinghua. On corporate philanthropy of private firms and trade credit financing in China. *China Economic Review*, 2019(57), 101316.
39. Zhang, Fan., Wang, Feng. Ou, Jinghua., **Yao, Shujie\***. Role of High-speed Rail on social fixed assets investments in China. *Journal of Chinese Economic and Business Studies*, 2019, 3(17), 221-244.
40. **Yao, Shujie.**, Zhang, Fan., Wang, Feng., Ou, Jinghua. Regional economic growth and the role of high-speed rail in China. *Applied Economics*, 2019, 51(32), 3465-3479.
41. **Yao, Shujie.**, Zhang, Fan., Wang, Feng., Ou, Jinghua. High-speed rail and urban economic growth in China after the world financial crisis. *China & World Economy* 2019, 27(2), 44-65.
42. **Yao, Shujie.**, He, Hongbo., Chen, Shou., Ou Jinghua. Financial liberalization and cross-border market integration, evidence from China's stock market. *International Review of Economics and Finance*, 2018(58), 220-245.
43. **Yao, Shujie\***., Fan, Zhang, Pan, Wang, Dan, Luo. Location determinants of China's outward foreign direct investments. *China & World Economy*, 2017, 25(6), 1-27.

44. **Yao, Shujie\***. Introduction to the CES 2015 Chongqing conference special issues. *China Economic Review*, 2017(45), 253-256.
45. Chuanglian Chen, **Yao, Shujie\***, Peiwei Hu, Lin Yuting. Optimal government expenditure and public debts in an economic growth model. *China Economic Review*, 2017(45), 257-278.
46. Zhou, Liming., **Yao, Shujie\***, Jinmin, Wang, Jinghua Ou. China's Pawn-broking Industry and the Puzzle of Losses during the Global Financial Crisis in 2008-2009. *China: An International Journal*, 2017, 15(3), 128-144.
47. Chen, Chuanglian., **Yao, Shujie\***, Jinghua, Ou. Exchange rate dynamics in a Taylor Rule framework. *International Journal of Financial Market, Institutions and Money*, 2017(46), 158-173.
48. Duan Liu, Shengyong Li, Hongbo, He, **Yao, Shujie\***. Financial constraint and product market competition across business cycles: evidence from China's manufacturing industry. *Journal of Chinese Economic and Business Studies*, 2017, 15(1), 59-80.
49. **Yao, Shujie.**, Pan, Wang; Jing, Zhang Ou, Jinghua. The dynamic relationship between China's inward and outward foreign direct investments. *China Economic Review*, 2016(40), 54-70.
50. Wang, Limin., **Yao, Shujie.**, Jinming, Wang., Jinghua, Ou. The pawn-broking industry and SME financing in China. *Journal of Chinese Business Economics*, 2016, 14(2), 151-164.
51. Hongbo He, Shou Chen, **Yao, Shujie\***, Jinghua Ou. Stock market interdependence between China and the world: A multi-factor R-squared approach. *Finance Research Letters*, 2015(13), 125-129.
52. **Yao, Shujie.**, Pan, Wang. Has China displaced the outward investments of OECD countries? *China Economic Review*, 2014, 28(1), 55-71.
53. Hongbo, He., Shou, Chen., **Yao, Shujie\***, Jinghua, Ou. Financial liberalisation and international market interdependence: Evidence from China's stock market in the post-WTO accession period. *Journal of International Financial Markets, Institutions & Money*, 2014(33), 434-444.
54. Luo, Dan., **Yao, Shujie.**, Jianling, Wang. Housing development and urbanisation in China. *The World Economy*, 2014, 37(3), 481-500.
55. Jiang, Chunxia., **Yao, Shujie.**, Genfu, Feng. Bank ownership, privatization, and performance: evidence from a transition country. *Journal of Banking and Finance*, 2013(37), 3364-3372.
56. **Yao, Shujie.**, Liu, Change. Trade policy review for China: continuing globalisation amidst the world financial crisis.. *The World Economy*, 2012, 35(12), 1645-1661.
57. **Yao, Shujie.**, Dan, Luo., Lixia, Loh. On China's monetary policy and asset prices, *Applied Financial Economy*, 2012, 23(5), 377-392.
58. Chen, Chungliang., Guobin, Chen., **Shujie, Yao\***. Do imports crowd out domestic consumption? A comparative study of China, Japan and Korea. *China Economic Review*, 2012, 23(4), 1036-1050.
59. **Yao, Shujie.**, Dan, Luo., Tyler, Rooker. Energy efficiency and economic growth in China, *Asian Economics Papers*, 2012, 11(2), 99-121.
60. **Yao, Shujie.**, Xiuyun, Yang. Airport development and economic growth in China, a panel data approach. *Asia-Pacific Journal of Accounting and Economics*, 2012, 19(3), 318-29.
61. Song, Lin., Jianling, Wang., **Yao, Shujie.**, Jian, Chen. Market reactions and corporate philanthropy: a case study of the Wenchuan earthquake in China, *Journal of Contemporary China*, 2012, 21(74), 299-315.
62. **Yao, Shujie.**, Jing, Zhang. On new economic theory of financial crisis and recovery. *The World Economy*, 2011, 34(5), 764-777.
63. Sutherland, Dylan., **Yao, Shujie.** Income inequality in China over 30 years of reforms. *Cambridge Journal of Economy and Society*, 2011(4), 91-105.

64. Luo, Dan., **Yao, Shujie.**, Jian, Chen., Jianling, Wang. Financial crisis and the rise of China's state-owned banking sector: a DEA and FSA analysis, *The World Economy*, 2011, 34(5), 805-825.
65. **Yao, Shujie.**, Dylan, Sutherland., Jian, Chen. China's outward FDI and resource-seeking strategy: a case study on Chinalco and Rio Tinto, *Asia-Pacific Journal of Accounting and Economics*, 2010, 17(3), 313-326.
66. **Yao, Shujie.**, Dan, Luo. World financial crisis and emergence of Chinese commercial banks. *Applied Financial Economics*, 2010, 20(19), 1515-1530.
67. **Yao, Shujie.**, Dan, Luo, Stephen, Morgan. Bank share prices and stock market integration in Greater China. *Journal of the Asia Pacific Economy*, 2010, 15(4), 388-395.
68. **Yao, Shujie.**, Bin, Wu., Fang, Su., Jianling, Wang. The impact of higher education expansion on social justice in China: a spatial and inter-temporal analysis. *Journal of Contemporary China*, 2010, 19(67), 837-54.
69. **Yao, Shujie.**, Dan, Luo; Stephen, Morgan. Impact of the US credit crunch and housing market crisis on China. *Journal of Contemporary China*, 2009, 19(64), 401-417.
70. Wei, Kailei., **Yao, Shujie.**, Aying, Liu. Foreign direct investment and regional inequality in China. *Review of Development Economics*, 2009, 13 (4), 778-791.
71. **Yao, Shujie.**, Dan, Luo. The economic psychology of stock market bubbles in China, *The World Economy*, 2009, 32(5), 667-91.
72. **Yao, Shujie.**, Dylan, Sutherland. Chinalco and Rio Tinto: A long march for China's national champions. *China Quarterly*, 2009, 199, 829-836.
73. **Yao, Shujie.**, Chunxia, Jiang; Zongyi, Zhang. The effect of governance changes on bank efficiency in China: a stochastic distance function approach, *China Economic Review*, 2009, 20(2), 183-192.
74. **Yao, Shujie.**, Stephen Morgan. On the new economic policies promoted by the 17th CCP Congress in China. *The World Economy*, 2008, 31(9), 1129-40.
75. **Yao, Shujie.**, Zhongwei, Han., Genfu, Feng. Ownership reform, foreign competition and efficiency of Chinese commercial banks. A non-parametric approach. *The World Economy*, 2008, 31(10), 1310-1321.
76. Lei, Chun Kwok., **Yao, Shujie.** On income convergence among China, Hong Kong and Macau, *The World Economy*, 2008, 31(3), 345-366.
77. **Yao, Shujie.**, Kailei, Wei. Economic growth in the presence of FDI: the perspective of newly industrializing economies. *Applied Economics Letters*, 2008, 15, 887-891.
78. **Yao, Shujie.**, Kailei, Wei. Economic growth in the present of FDI from a newly industrializing economy's perspective. *Journal of Comparative Economics*, 2007, 35(1), 211-234.
79. **Yao, Shujie.**, Zhongwei, Han., Genfu, Feng. On technical efficiency of Chinese insurance industry after WTO accession. *China Economic Review*, 2007, 18(1), 66-86.
80. **Yao, Shujie.**, Chunxia, Jiang., Genfu, Feng., Dirk, Willenbockel. WTO challenges and efficiency of Chinese banks. *Applied Economics*, 2007, 39(5), 629-643.
81. **Yao, Shujie.\*.** On Economic growth, FDI and exports in China. *Applied Economics*, 2007, 38(3), 339-352.
82. Wu, Zhongmin., **Yao, Shujie.** On unemployment inflow and outflow in urban China. *Regional Studies*, 2007, 40(8), 811-822.
83. **Yao, Shujie.**, Genfu, Feng., Aying, Liu., Guohua, Fu. On China's rural and agricultural development after WTO accession. *Journal of Chinese Economic and Business Studies*, 2005, 3(1), 59-78.
84. **Yao, Shujie.** Economic transition and the decline of agricultural production in Estonia. *Journal of International Development*, 2005, 17(4), 495-509.
85. **Yao, Shujie.**, Zhongyi, Zhang., Genfu, Feng. Rural-urban and regional inequality in output, income and consumption in China under economic reforms. *Journal of Economic Studies*, 2005, 32(1), 2-24.

86. **Yao, Shujie.**, Zhongyi, Zhang., L. Hanmer. Growing inequality on poverty reduction in China. *China Economic Review*, 2004, 15(2), 145-63.
87. **Yao, Shujie.** Urban unemployment and poverty, a case study of Guangzhou and Tianjin. *Journal of International Development*, 2004, 16(2), 171-88.
88. Wu, Zhongmin., **Yao, Shujie.** Inter-migration and intra-migration in China, a theoretical and empirical analysis. *China Economic Review*, 2003(14), 371-85.
89. **Yao, Shujie.**, Zhongyi, Zhang. Openness and economic performance, a comparative study of China and the East Asian newly industrialised economies. *Journal of Chinese Economic and Business Studies*, 2003, 1(1):71-96.
90. Bhalla, Ajit., **Yao, Shujie.**, Zhongyi, Zhang. Causes of inequality in China 1952-99, *Journal of International Development*, 2003, 15(3), 1-17.
91. **Yao, Shujie.**, Ajit, Bhalla., Zhongyi, Zhang. Regional economic performance in China. *Economics of Transition*, 2003, 11(1), 29-39.
92. **Yao, Shujie\***. China's rural economy in the first decade of the 21st century: problems and growth constraints. *China Economic Review*, 2002, 13(4):354-60.
93. **Yao, Shujie.**, Zhongyi, Zhang. Economic growth and diverging clubs: a case study of the Chinese regions. *Applied Economics Letters*, 2002, 9(12), 833-836.
94. **Yao, Shujie.**, Zhongyi, Zhang. Financial deepening and economic development in China. *Asian Pacific Business Review*, 2002, 8(3), 63-76.
95. **Yao, Shujie.**, Zhongyi, Zhang. On regional inequality and diverging clubs: a case study of contemporary China. *Journal of Comparative Economics*, 2001, 29(3), 466-84.
96. **Yao, Shujie.**, Zhongyi, Zhang. Regional growth in China under economic reforms. *Journal of Development Studies*, 2001, 38(2), 167-86.
97. Zhang, Zhongyi; Aying, Liu., **Yao, Shujie.** Convergence of China's Regional Incomes: 1952-97. *China Economic Review*, 2001, 12(2/3):243-58.
98. **Yao, Shujie.**, Zhongyi, Zhang. Regional Inequalities in Contemporary China Measured by GDP and Consumption. *Economic Issues*, 2001, 6(2), 13-29.
99. **Yao, Shujie.**, Zhinan, Liu., Zhongyi, Zhang. Spatial differences of grain production efficiency in China, 1987-92. *Economics of Planning*, 2001(34), 139-157.
100. **Yao, Shujie.** Economic development and poverty reduction in China over 20 years of economic reforms. *Economic Development and Cultural Change*, 2000, 48(3), 447-474.
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83. 姚树洁,吴斌,宋林.中国贫困地区的空巢村庄:陕北农村迁移的案例研究[J].当代经济科学,2010,32(04):66-73+126.
84. 姚树洁.中铝和力拓:中国大型国企的海外长征[J].对外经贸实务,2009(08):4-7.
85. 姚树洁,戴颖杰.对政府出资筹集农村社会养老保险基金的制度探讨[J].西安交通大学学报(社会科学版),2009,29(05):36-40.
86. 杨秀云,姚树洁.中国机场业发展的决定因素——基于扩展生产函数的实证分析[J].当代经济科学,2009,31(01):42-49+125-126.
87. 姚树洁,李峰,陈敏佳.2008年中国经济回顾与分析[J].西安交通大学学报(社会科学版),2009,29(03):12-18.
88. 姚树洁,罗丹.中国股市泡沫是偶然还是必然[J].西安交通大学学报(社会科学

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89. 姚树洁,宋林.解读十七大后中国的新经济政策[J].西安交通大学学报(社会科学版),2008(03):1-9+21.
90. 姚树洁,Chun Kwok Lei,冯根福.中国大陆、香港和澳门地区的收入收敛性[J].经济研究,2008,43(10):80-92.
91. 姚树洁,韦开蕾,陈敏佳.2007 年的中国经济回顾与分析[J].当代经济科学,2008(03):1-9+124.
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94. 姚树洁,韦开蕾.中国经济增长、外商直接投资和出口贸易的互动实证分析[J].经济学(季刊),2007(07):152-171.
95. 姚树洁,宋林,韦开蕾.建设一个强大的国家:中国在科技上将如何表现[J].西安交通大学学报(社会科学版),2007(01):53-61.
96. 姚树洁,冯根福,韦开蕾.外商直接投资和经济增长的关系研究[J].经济研究,2006(12):35-46.
97. 冯根福,李再扬,姚树洁.信息产业标准的形成机制及其效率研究[J].中国工业经济,2006(01):16-24.
98. 姚树洁,张宗益,宋林.开放与经济绩效:中国和亚洲新兴工业经济体的比较研究[J].西安交通大学学报(社会科学版),2005(03):1-9.
99. 姚树洁,冯根福,韩钟伟.中国保险业效率的实证分析[J].经济研究,2005(07):56-65.
100. 冯根福,马亚军,姚树洁.中国上市公司担保行为的实证分析[J].中国工业经济,2005(03):13-21.
101. 姚树洁,冯根福,姜春霞.中国银行业效率的实证分析[J].经济研究,2004(08):4-15.
102. 傅国华,姚树洁.经济分层次增长逻辑模型与最大化条件[J].世界经济文汇,2004(06):36-45.
103. 姚树洁,杨少华.中国改革二十多年来的经济发展与贫困缩减[J].当代经济科学,2003(01):1-14.

#### *D. Selected newspaper articles*

1. 姚树洁(2024)区域创新极推动中国经济可持续高质量发展.《人民论坛》网.1月29日.
2. 姚树洁(2024)新质生产力的理论基础及重庆实践.《重庆科技报》1月18日.
3. 姚树洁(2024)加快形成新质生产力,为重庆数字经济高质量发展注入澎湃新动能.《人民论坛》网.1月9日.
4. 姚树洁(2023)评论:悼念罗伯特·卢卡斯——难忘的回忆和感想.《21世纪财经》南财快评专栏.5月27日.
5. 姚树洁(2023)美国连续10个月通胀下降的全球经济逻辑.《21世纪财经》南财快评专栏.5月15日.
6. 姚树洁(2023)充分发挥市场作用,引导企业调整产业结构、平衡供需关系.《21世纪财经》南财快评专栏.4月28日.
7. 姚树洁(2023)第一季度经济增长为实现全年目标奠定良好基础.《21世纪财经》南财快评专栏.4月19日.

8. 姚树洁 (2023) 德意志银行次轮危机揭示欧洲经济困局《21 世纪财经》南财快评专栏.3 月 30 日.
9. 姚树洁 (2023) 应循序渐进推动农村土地制度改革.《21 世纪财经》南财快评专栏.3 月 8 日.
10. 姚树洁 (2023) 中央经济工作会议为重庆经济高质量发展指明方向.《重庆日报》.12 月 18 日.
11. 姚树洁 (2023) 从全国大局读懂重庆 “一号工程”.《重庆日报》.1 月 30 日.
12. 姚树洁 (2023) 新时代中国经济高质量发展的科学指南.《重庆日报》.7 月 17 日.
13. 姚树洁 (2023) 打造 “第四极” 需以成渝中部地区发展为新突破口.《重庆科技报》.12 月 12 日.
14. 姚树洁 (2022) 邓小平南方视察谈话及六年振兴回顾及思考,《人民论坛》网.2 月 23 日.
15. 姚树洁 (2022) 牢牢把我 “双碳” 工作中的系统观念,《人民论坛》网.6 月 8 日.
16. 姚树洁 (2022).【理响中国】高质量发展成色更足信心更强,《人民论坛》网.
17. 姚树洁 (2022).跨区域统一要素市场是提高全国经济高效发展的重要基础.《21 世纪财经》南财快评专栏.4 月 7 日.
18. 姚树洁 (2022) 统筹疫情防控与稳经济社会发展.《21 世纪财经》南财快评专栏.5 月 5 日.
19. 姚树洁 (2022) 确保经济增长稳定是下半年宏观经济的核心目标.《21 世纪财经》南财快评专栏.6 月 29 日.
20. 姚树洁 (2022) 美国经济严重衰退将可能成为大概率事件.《21 世纪财经》南财快评专栏.9 月 15 日.
21. 姚树洁 (2022) 美国加息预期和高水平通胀吓倒美股.《21 世纪财经》南财快评专栏.5 月 15 日.
22. 姚树洁 (2022) 英镑持续贬值折射英国经济结构性长期衰退.《21 世纪财经》南财快评专栏.9 月 27 日.
23. 姚树洁 (2022) 美联储是否大幅度加息 CPI 和股市走势.《21 世纪财经》南财快评专栏.10 月 12 日.
24. 姚树洁 (2022) 英国央行加息 75 个基点,将大幅度提高经济增长风险.《21 世纪财经》南财快评专栏.
25. Yao, Shujie (2013) ‘Hello 2013: Fighting corruption in China must fight the causes of corruption’, *Financial Times*, 14 January 2013.
26. Yao, Shujie (2013) ‘2012 Nobel economics prize winners’ theories and Chinese ‘left-over’ girls’, *Financial Review* 《金融博览》, p18, January, 2013.
27. Yao, Shujie (2012) ‘2012 is the year when China will shift from a low quality but fast growth to a high quality but a slow growth model’, *South China Metropolitan*, 1 November 2012.
28. Yao, Shujie (2012) ‘New QE policies in the EU and the US challenge the wisdom of China’s decision makers’, *South China Metropolitan*, 28 September 2012.
29. Yao, Shujie (2012) ‘The effectiveness of house taxation policy in China will depend on the credibility and ability of government officials’, *South China Metropolitan*, 14 September 2012.
30. Yao, Shujie (2012) ‘Flaws in China’s economy mean tough times ahead’, *South China Morning Post*, 25 July 2012.
31. Yao, Shujie and Mike, Bastin (2012) ‘Brand positioning - an experiential perspective’, *China Daily*, 18 August 2012.
32. Yao, Shujie (2011) ‘China’s ‘go global’ strategy is picking up pace’, *Financial Times*, 8 June 2011.
33. Greenaway, David and Shujie, Yao (2011) ‘Globalization of Knowledge’, *China Daily*, 19 March 2011.

34. Yao, Shujie (2010) 'Innovate or slip down value chain', *China Daily European Weekly*, 31 Dec 2010.
35. Yao, Shujie (2010) 'If China sneezes, rest of the world has a migraine', *Daily Telegraph*, 20 March 2010.
36. Yao, Shujie (2010) 'Sino-US love-hate relation', *China Daily*, 19 Feb 2010.
37. Yao, Shujie (2010) 'Government Housing Policies Have Failed to Hit the Root Problem', *China Daily*, 4 May 2010.
38. Yao, Shujie (2010) 'China should speed up industry restructuring', *China Daily*, 16 July 2010.
39. Yao, Shujie (2010) 'Solid basis for innovation', *China Daily*, 10 Nov. 2010.
40. Yao, Shujie (2010) 'Innovate or slip down value chain', *China Daily European Weekly*, 31 Dec 2010.
41. Yao, Shujie (2009) 'Hear the dragon roar as China surges', *Daily Telegraph*, 25 May 2009, p.Y11.
42. Yao, Shujie (2009) 'China will learn from Chinaco's failed deal with Rio Tinto', *Financial Times*, 8 June 2009, p.9.
43. Yao, Shujie (2009) 'How far will China's expansion bids go', *China Daily*, 17 June 2009.
44. Yao, Shujie (2009) 'China will learn its lessons from the Chinalco fiasco', *The Age (Melbourne)*, 18 June 2009.
45. Yao, Shujie (2009) 'Chinese Tiger set to burn bright', *Investment Advisor*, 29 June 2009.
46. Yao, Shujie (2009) 'Dragon on a smoking break', *The House Magazine*, 15 June 2009, p.28.

#### ***E. Selected conference papers***

1. Yao, Shujie (2013), Energy security and alternative energy sources in China, paper presented at the IFCCS6 and the Joint East Asia Chinese Studies Associations Conference, 5-7 September 2013, University of Nottingham.
2. Yao, Shujie (2012), China's economic performance and prospects after the 18<sup>th</sup> CCP Congress, keynote speech to the 6<sup>th</sup> Annual Conference of China's Soft Sciences Association, 10-12 December 2012, Beijing.
3. Yao, Shujie (2012), China and the world economy by 2020, lecture given to EMBA students and teachers, Xi'an Jiaotong University Business School, Xi'an, China, 7 December 2012.
4. Yao, Shujie (2012), Does China's OFDI displace that of the OECD countries? Paper presented to the 4<sup>th</sup> GEP/Korea University Joint Conference on International Trade and Economic Policy, Seoul, South Korea, 2 November.
5. Yao, Shujie (2012), How does China manage its economic transformation amidst the world financial crisis? Lecture given to Ph.D students and young scholars at the Chinese Academy of Social Sciences, Beijing, 10 August.
6. Yao, Shujie (2011), Public Lecture on 'Energy Efficiency and Sustainable Growth in China', Central University of Finance and Economics, Beijing. 27 Oct.
7. Yao, Shujie (2011), Public Lecture on 'Local Government Finance, Housing Market and Bank Non-Performing Loans in China', Graduate School, Chinese Academy of Social Sciences, Beijing, China. 28 Oct.
8. Yao, Shujie (2011), Keynote address, 'China and the World Economy: Credit Crunch and Sino-EU Relation', EU FP7 Project Concluding Conference, Chinese Academy of Social Sciences, Beijing, China. 29 Oct.
9. Yao, Shujie (2011), Public debate 'China Choice', organised by Lionel Barber, Editor, Financial Times, at the FT headquarters in central London. 29 September.



10. Yao, Shujie (2010), China's OFDI and resource-seeking strategy in the world financial crisis, keynote paper presented at Hong Kong City University. May 2010.
11. Yao, Shujie (2010), Chinese housing market bubble, presented at the 3rd annual conference of IFCCS, September, Xi'an Jiao University, China.
12. Yao, Shujie (2010), On the theory of housing bubble and implications on economic growth in China, keynote paper presented at the Jinan University, Guangzhou, China. November 2010.
13. Yao, Shujie (2010), China's economic growth and income inequality, keynote paper presented at the South China Normal University, Guangzhou, China. December 2010.
14. Yao, Shujie (2009), On the new economic theory of financial crisis and recovery, presented at the 2<sup>nd</sup> annual conference of IFCCS, 7-9 September, University of Nottingham.
15. Yao, Shujie (2009), Financial crisis and its implications on China, to be presented at the international conference on world financial crisis, University of Nottingham Ningbo Campus, 10-11 November.
16. Yao, Shujie (2008), The economic psychology of stock market bubbles in China, *The World Economy* China Lecture given at Leverhulme Trust Centre of Globalisation and Economic Policy, the University of Nottingham China Campus, 7 November 2008.
17. Yao, Shujie and Xiuyun, Yang (2008), Development of airport industries and regional economic development in China, paper presented at the National School of Development (formerly China Centre of Economic Research), Beijing University, October 2008.
18. Yao, Shujie; Kailei, Wei and Aying Liu (2007) FDI and regional inequality in China, paper presented to the international conference on 'Southern Engines of Growth' held at WIDER, Helsinki, September 2007.
19. Yao, Shujie (2007) New economic policies after the 17<sup>th</sup> CCP Congress: beginning of the final push to make China the next superpower, paper presented at Chatham House, London, at an conference on 'China in the 2020' in November 2007.
20. Yao, Shujie and Kailei, Wei (2006) Economic growth in the present of FDI from a newly industrializing economy's perspective, paper presented to an International Conference on economic development of China, University of Nankai, Tianjin, China, 21-22, April.
21. Yao, Shujie and Kailei, Wei (2005) *FDI and regional economic growth in China*, paper presented to an International Conference on economic development of China, University of Congqing, China, 16-17, April 2005.
22. Yao, Shujie (2005) *Assessment of science and technological development in China*, paper presented to international conference on China-EU relationship, European Institute of Asian Studies, Brussels, Belgium, 16-17 June.
23. Yao, Shujie (2004) *Technical efficiency of Chinese commercial banks*, paper presented to the ASSA (Allied Social Sciences Association) annual conference in San Diego, California, USA, January.
24. Yao, Shujie (2003) *Inter-regional migration in China*, paper presented to ASSA annual conference in Washington D.C., USA, January.
25. Yao, Shujie (2002) *Economic growth, income distribution and poverty reduction in China*, paper presented to ASSA annual conference in Atlanta, Georgia, USA, January.
26. Yao, Shujie (2002) *Economic growth and regional divergence in China*, paper presented to ASSA annual conference in New Orleans, USA, January.
27. Yao, Shujie (2000) *Understanding China's regional inequality*, invited keynote speech paper, international conference on China's first rural census, sponsored by the United Nations Food and Agricultural Organisation and

National Bureau of Statistics of China, September.

在《人民日报》，《光明日报》，《人民论坛》，《China Daily》等媒体发表论文和评论多篇。

## RESEARCH AND TRAINING GRANTS

### 1. *External grants*

- 2013, Fourth Chevening Training Grant (British Foreign Office), £225,000 (with Great Britain China Centre).
- 2013, Teacher Training and Higher Education Administration Training, £167,000, Jiangnan University, Wuhan, China.
- 2011-12, Chevening Training Grant (British Foreign Office), £278,000 (with Richard Pascoe and Zhengxu Wang).
- 2010-11, Chevening Training Grant (British Foreign Office), £240,000 (with Richard Pascoe and Zhengxu Wang) (FCO02032010/CN/UoN).
- 2011-2013, European China Research and Advisory Network (ECRAN, EU funded), Euro 2.5 million (with Richard Pascoe, Zhengxu Wang, and four other European institutions)(EuropeAid/129502/SER/CN).
- 2009-2010, Chevening Training Grant (British Foreign Office), £296,000 (with Richard Pascoe and Zhengxu Wang).
- 2010-2011, Labour mobility and urbanization in Western China, Chinese National Social Sciences Foundation (RMB, 130,000, with Lin Song, Xi'an Jiaotong University).
- 2008, Airport development in China, Chinese National Natural Science Foundation (RMB 190,000, with Xiuyun Yang, Xi'an Jiaotong University).
- 2007-2010, Development Grants for the Nottingham Confucius Institute, \$870,000 (co-sponsored by the University of Nottingham and the Ministry of Education, China).
- 2005-2007, *Reforms of the state commercial banks in China*, The Leverhulme Trust (F/00765/A), (£44,550).
- 2006, Impact of assessment and monitoring mechanism for the EU-China tourism agreement (ADS), Europeaid/116548/C/SV, (198,000 Euro).
- 2006, EU-China Financial Services Co-operation Project in China (£50,000), financed by the European Union, contracted through Lloyds TSB Bank, UK (EuropeAid 112901/C/SV/CN).
- 2005, Improvement of State Commercial Banks, Institutional Change and Policy Recommendations, finance by the Ministry of Education, China (40,000 RMB), project code (05JA790065).
- 2005-2006, *Measuring poverty in rural China*, Canadian International Development Research Centre (20,000 Canadian dollars), collaborating with China Agricultural University, Beijing, China.
- 2004-2005, *Agricultural Development in Xinjiang*, China, Ministry of Education and the Government of Xinjiang (60,000 Chinese yuan), collaborating with Xinjiang University, China.
- 2003-2004, *Harmonization of International Trade Statistics between Slovak and EU* (EU, 45,000 Euro).
- 2002-2003, *Harmonization of International Trade Statistics between China and EU* (EU, 15,000 Euro).
- 2000-2002, *Poverty and Quality of Life in the Developing Countries*, ODI (£43,000).
- 2000-2001, *Urban poverty and enterprise reform in China*, British Academy (£5000).
- *Regional Inequality and Economic Growth in China*, Food and Agricultural Organisation (£5,000).
- *Urban Poverty in China*, Asian Development Bank (£60,000).

- 1996-1998, Research on *Development Strategies of Agriculture, Fisheries and Forestry in Estonia*, (FAO, \$45,000).
- 1996-1997, Research on *Agricultural Policy and Food Marketing in Tanzania* (FAO, \$25,000).
- 1995-1996, *Agricultural Diversification in Thailand* financed by the United Nations Food and Agricultural Organization (FAO) (\$42,000, with Abdel Aziz, Egypt).
- 1995-1996, A grant of £3000 from the ESRC to organise a series of seminars on *Chinese Agriculture and Rural Development* in the Department of Economics, University of Portsmouth.
- 1993-1994, *Agricultural Policy and Land Reform in Pakistan* (FAO, \$35,000).
- 1992-1993, *Cereal Market Modelling for Ethiopia*, financed by the EEC over two years (ECU234,000, with William van der Geest, Roger Hay and Robert Greener, Oxford).
- 1990-1991, *Rural credit requirement and growth prospects in rural Bhutan*, financed by the United Nations Capital and Development Fund (UNCDF) (\$65,000, with Gary Christensen and Simon Hunt, Oxford).

## 2. *Internal grants*

- 2009-2010, University of Nottingham, teaching development grant (£15,000, with Hongyi Lai and Jing Zhang).
- 2001-2005, Middlesex University Business School, £126,000, project on *Development of the Chinese Economy and Training of Ph.D students*.
- 2005-2006, Middlesex University Business School, £7,500, project on *Computable General Equilibrium Model for the Chinese Economy after WTO Accession* (with A. Liu and D. Willenbockel).
- 2003, Middlesex University Business School, £7,500, project on *Reform of Chinese State-owned Enterprises and Urban Unemployment*.
- 1994, University of Portsmouth, £25,000, project on *Development of Rural Township and Village Enterprises in China*.

## THE RECENT JOINT RESEARCH PROJETS FUNDED IN CHINA

姚树洁教授参与研究的国家基金：

- [1] 重庆打造具有全球影响力的创新生态系统研究，中国工程院战略咨询重要项目，2023-CQ-XZ-03，70 万元，2023-2024 年， 在研。
- [2] 重庆市委宣传部重大研究专项子课题，新时代高品质生活的重庆实践(2023TBWT-ZD02-8)，5 万元。
- [3] 教育部规划基金项目“中国科技创新极与城市经济均衡高质量绿色发展研究”(24YJA790081)，10 万元，在研。
- [4] 重庆市社会科学规划重大项目“打造新时代国家战略腹地核心承载区研究”(2024ZXZD13)，5 万元，在研。
- [5] 沈阳市社科联重大咨询研究项目，东北亚国际化中心城市发展指数（DBYCSZS2023），50 万元。
- [6] 习近平总书记关于扶贫工作的重要论述的理论及实践基础和精准脱贫效果研究，国家社会科学基金重大项目，80 万元（18ZDA005）。项目主持人。
- [7] 中国高铁对区域经济增长与趋同的影响研究，国家自然科学基金面上项目（加上重庆大学配套），2016 年至 2019 年，48 万元（71673033）。项目主持人。
- [8] 教育部人文社会科学项目，10 万元（16YJA790058），项目主持人。
- [9] 重庆市首席专家工作室首席专家，特别研究经费 30 万人民币，重庆市人社局资助。项目领队。
- [10] 重庆市重大社科项目，重庆市高新企业效率研究，重庆市统计局资助， 10 万人民币。项目

领队。

- [11] “民间资本供应、风险防范及其健康发展研究”，国家社科基金重大项目，项目编号：12&ZD071，80 万元，2012-2015，子课题主持人。
- [12] “企业社会责任信息披露的动机认知及其行为选择研究：基于议程设置的视角” 国家自然科学基金，22 万元，项目编号：71202077，主要参与人。
- [13] “我国次级劳动力市场人力资本提升问题的理论与政策研究”，国家社科基金，项目编号：10BJL023，12万元，2010—2013，主要参与人。
- [14] “我国次级劳动力市场的保护与发展研究”，教育部人文社科基金，项目编号：09XJA790009，7 万元，2009—2012，主要参与人。
- [15] “中国机场业的所有制结构、管理战略和市场效率研究”，国家自然科学基金，18 万元，2007—2010，项目编号：70773092，主要参与人。

## CURRENT RESEARCH PROJECTS

- China's outward foreign direct investments and impact on the world economy.
- Energy security and environmental protection in China.
- Corporate social responsibility of Chinese listed companies.
- *Labour mobility and urbanization in Western China*, Funded by the Chinese National Social Foundation.
- *Reforms of state-owned commercial banks in China*. This is funded by a Leverhulme Trust research grant for two years from 2005 to 2007. It aims to investigate how current reforms of state commercial banks will change their performance and efficiency as compared to non-state banks, including private and foreign invested banks in China.
- *EU-China Financial Services Co-operation Project*, this is a large multi-national cooperation project to study the incentives of foreign banking investing in China under the WTO agreement and a comparative study to compare the performance between Chinese and major foreign banks in the EU. Experts are invited from China (9), Germany (3) and the UK (6) for work for a period of nine months from March to November 2006. I am leader of a small subject team of 3 experts based in London but we have to spend up 20 days in China. My team is responsible for a comparative study on the technical and financial efficiency of Chinese and European banks.
- *Impact assessment and monitoring mechanism for the EU-China ADS tourism agreement*. This project is to investigate how the Approved Destination Status (ADS) given by the European States to Chinese tourists may affect the economies in both China and the EU and how this agreement may also lead to some potential immigration risk imposed on the EU states. I am leader of a team of four different European experts from the UK, France and China. A 90-pages technical report has been produced to the European Commission. This report sets a useful policy foundation for the next stage of tourism development between China and the EU. The consultancy report has provided rich materials for academic publications in the next stage of research.
- *Measuring rural poverty in China*. This is funded by a Canadian International Development Research Centre grant for two years from 2005 to 2006. This is jointly conducted between Middlesex and a group of researchers in the China Agricultural University in Beijing.
- *Foreign direct investment (FDI) and regional economic growth in China*. This project is funded by an internal research grant from 2003 to 2007. It aims to study how FDI influences economic growth in China and how income inequality may have been induced by economic growth, FDI and exports.
- *Economic growth and accession to WTO within a framework of a computable general equilibrium model*. It will investigate how openness induced by WTO accession may have led to a structural change in the Chinese economy and how such a change may affect income distribution and poverty reduction.

- *Income inequality and poverty*. This is supported an internal research grant with two full-time Ph.D students working in this programme. Large rural and urban household survey data from China have been collected to study how economic growth may have led to a divided nation, and how such a divided nation affects the efforts of government to reduce poverty.

## OTHER RESEARCH AND SCHOLARLY ACTIVITIES

### 1. *Research supervision experiences*

#### Ph.D completion

- *Economic growth and regional convergence in China*, Zhongyi Zhang, University of Portsmouth, 2001. Dr Zhongyi Zhang is now professor of economics and President of Southwest China University of Finance and Economics.
- *Integration and convergence of China, Hong Kong and Macau*, Chun Kwot Lei, University of Middlesex, 2006. Dr Chun Kwot Lei is now head of economics at the University of Macau.
- Efficiency analysis of the Chinese insurance industry, Zhongwei Han, University of Middlesex, 2006. Dr Zhongwei Han is now board director and chief in human resources at Metresbowne Company Ltd (a large listed private company in China).
- Efficiency analysis of the Chinese commercial banking sector under economic reform, Chunxia Jiang, University of Middlesex, 2007. Dr Chunxia Jiang passed her viva in October 2007 and became a lecturer of economics at the University of Middlesex at the same time.
- China's post-WTO intellectual property system: assessing compliance with the TRIPS agreement, Kristie Thomas, completion, October 2007. Christie Thomas is currently lecturer of business law at the Nottingham University Business School.
- FDI and regional economic growth in China, Kailei Wei, University of Middlesex, April 2008. Dr Wei is professor and vice-dean, School of Economics and Management, Hainan University, China.
- *Institutional reforms and enterprise performance in western China*, Wenqian Zhang, Xi'an Jiaotong University, China, completed 2010. Dr Zhang is currently Chief Financial Officer of China Printing Corporation in Beijing.
- *Banking reform in China*, Dan Luo, University of Nottingham, completed, 2010. Dr Luo is lecturer of financial economics, University of Nottingham.
- *Financial constraints of Chinese manufacturing firms*, Minjia Chen, completed, 2010, Dr Chen is now lecturer at Nottingham University Business School.
- *SME development and financial structure in China*, Alex Newman, completed, 2010, Dr Newman is now senior lecturer of management, Monash University, Australia.
- *Uneven development of higher education and healthcare in China*, completed, 2011, Fang SU, 2011, Dr Su is now a language teacher at the University of Nottingham.
- *Large corporations and their outward development strategies in China*, completed, 2011, Qian Cheng. Dr Qian is now a lecturer of business in Shang University of Economics and Finance.
- *China's outward foreign direct investments and impact on other countries*, 2011, Pan Wang. Dr Wang is now a senior marketing executive in Pingan Trust of the Pingan Insurance Group in Hangzhou, China.
- *Stock Market Structural Changes: the case of China*, 2012, Hongbo He. Dr He is a lecturer of economics in Hunan University.
- *Labour Market and Sex Discrimination in China*, 2011, Xiaoyan Yuan. Dr Yuan is a lecturer in Shaanxi Normal University.
- *Banking reforms and economic efficiency*, 2012, Xiongjun Li. Dr Li is associate professor of economics, Xi'an Electronics and Sciences University.

- *Land reform and agricultural productivity*, 2012, Feng li. Dr Li is lecturer of economics, Xi'an Electronics and Sciences University.
- *Industrial development zones and economic agglomeration: the Case of Chongqing in China*, 2013. Yingjie Dai. Dr Dai is now a section chief in the All China Industrial and Commerce Federation, Beijing.
- *Banking reforms and efficiency, a case study in Hunan Province in China*, 2013. Xiaolin Yang. Dr Yang is now lecturer of economics, Hunan University, China.
- *Migration and urban development in Chongqing*, Jinghua Ou, 2013. Dr Ou is now lecturer of economics, Chongqing University.
- *Economic convergence of the Asean States*, Joanne Loh, 2014.
- *Ownership structure and performance of Chinese listed companies*, Sam Beatson, 2015. Dr Beatson is now lecturer at King College, London.

#### Ph.D students under supervision

- *Foreign direct investment and regional growth in China*, Jiangmin Liu, University of Nottingham Ningbo Campus.
- *Corporate social responsibility of Chinese listed companies*, Shuangqi WU, University of Nottingham.
- *Corporate governance and firm performance*, Ruosha Ding, University of Nottingham.

#### **2. External examiner of Ph.D candidates**

External Examiner of Ph.D candidates to the following universities: University College of Dublin, Bath, Sheffield, London Guildhall, Sussex, King's College (London University), Aston, Leeds, Greenwich, Manchester, University of Western Australia, SOAS (London University), Birmingham, Portsmouth.

#### **3. Referee of academic journals**

Referee to numerous economics journals, including *Journal of Political Economy*, *Economic Journal*, *American Economic Review*, *Economic Development and Cultural Change*, *Oxford Economic Papers*, *Journal of Comparative Economics*, *Journal of Agricultural Economics*, *Agricultural Economics*, *Regional Studies*, *Applied Economics*, *The World Economy*, *Journal of International Development*, and *Food Policy*.

#### **4. Membership of learned societies**

Royal Economic Society (UK)  
Chinese Economic Association (UK)  
American Association of Comparative Economics (USA)  
American Economic Association (USA)

### **CONSULTANCY EXPERIENCES**

#### **1. Country work experiences**

Slovakia, China, Estonia, Thailand, Pakistan, the Philippines, Ethiopia, Bhutan, Tanzania, Swaziland, South Africa, Namibia.

#### **2. Consultancy projects funded by international development agencies**

- 2006, team leader of 4 international experts, Impact Assessment and Monitoring Mechanism for the EU-China Tourism Agreement (ADS), EUROPEAID/116548/C/SV, LOT N° 5: STUDIES FOR ASIA. The team studies the economic impact of Chinese tourists on the EU economy.
- 2006, team leader of 3 international experts, A Comparative Study of Chinese and

European Commercial Banks, as part of a large multi-national cooperative project on EU-China Financial Services Co-operation Project sponsored by the Chinese Banking Regulatory Commission (CBRC) and the EU. The team aims to identify the key differences in the efficiency of European and Chinese banks in order to make policy recommendation on how to improve the competition in the Chinese banking industry after WTO accession.

- 2003-2004, international technical consultant for the European Union on a project 'Intrastat-Slovakia' to bring the Intra-EU trade statistics in Slovakia in alignment with Eurostat (EU's statistical authority).
- 2002-2003, team leader of international experts, Final Project Evaluation Team working with the European Union to Evaluate a £3.4 Euro five year project on 'EU-China International Trade Statistics' jointly between EU and the Chinese government (National Bureau of Statistics, General Customs Administration and Foreign Ministry of International Trade and Cooperation).
- 2000-2001, economic consultant for the Department for International Development (UK), working in Beijing, China, 'Comparative Studies of Rural and Urban Poverty in China'.
- 2000-2001, consultant economist for the Asian Development Bank, working in Beijing, China, on a project 'Urban Poverty in China'.
- 1997-1998, consultant economist for the United Nations Food and Agricultural Organization (FAO), working in Tallinn and Tartu, Estonia.
- 1996-1997, consultant economist for the United Nations Food and Agricultural Organization (FAO), working in Tanzania.
- 1994-1995, consultant economist for the United Nations Food and Agricultural Organization (FAO), working in Bangkok, Thailand.
- 1993-1994, consultant economist for the United Nations Food and Agricultural Organization (FAO), working in Islamabad, Pakistan.
- 1992, consultant economist for the European Union, working in Magnamga Agricultural Training Centre, Swaziland and Pretoria Agricultural University, Pretoria, South Africa, on a project 'Agricultural Marketing and Trade Liberalization in Southeast Africa'.
- 1992, consultant economist for the European Union, working in Addis Ababa, Ethiopia, on a project 'Strategy for Food Marketing in Ethiopia'.
- 1990, consultant economist for the United Nations Capital Fund, working in Bhutan, on a project 'Rapid Rural Appraisal of Rural Credit Requirement and Investment Opportunities in Rural Bhutan'.
- 1990, consultant economist for the World Bank, working in Namibia.

## TEACHING EXPERIENCES

- *Chinese Economic Issues* (MSc and MA), 2007-, University of Nottingham.
- *Chinese Business and Society* (BSc and BA), 2007-, University of Nottingham.
- *Introduction to Chinese Business and Economy* (MSci), 2009-, University of Nottingham.
- *BSc Dissertation* (Economics and Chinese Studies), 2007-, University of Nottingham.
- *Business Economics/International Business* (MBA, M.Sc), 2001-2005, Middlesex University Business School.
- *Econometrics* (M.Sc). 2001-2005, Middlesex University Business School.
- *International Trade and Economics Statistics*. Professional training courses. China (2001), Slovakia (2003, 2004).
- *Policy Analysis and Development* (M.Sc and External Courses). Short professional courses conducted in Swaziland and South Africa (1990), Pakistan (1993), Thailand (1994), Tanzania (1996, 1997), Estonia (1998).
- *Information Technology and Computing for Business and Economic Studies* (M.Sc). 1992-1994, Department of Economics, University of Portsmouth.
- *Information Technology and Computing for Business and Economic Studies* (M.Sc).

- 1992-1994, Department of Economics, University of Portsmouth.
- *Mathematical Economics* (M.Sc). 1992-2001, Department of Economics, University of Portsmouth.
  - *Statistical Analyses for Economists* (undergraduates: year I). 1992-2001, Department of Economics, University of Portsmouth.
  - *Mathematical Analyses for Economists* (undergraduates: years I and II). 1992-2001, Department of Economics, University of Portsmouth.
  - *Quantitative Methods with Additional Mathematics and Statistics* (undergraduates: years I and II), 1992-2001, Department of Economics, University of Portsmouth.

## HONOURS

Choeng Kong Scholar Special Professor, University of Chongqing (2015-)


Chongqing Municipal Chief Scientist in Economics (2014-)

Special chair professor of economics, Fudan University (Shanghai 1000 Talent Scholar, 2015-)


Special chair professor of economics, Xi'an Jiaotong University, China (2004-)

Senior Advisor, Chinese National Association of Soft Sciences, (2012-)

Best lecturing prize, University of Portsmouth (1995)



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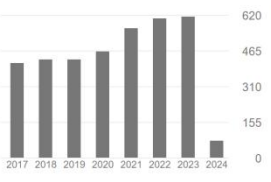
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<a href="#">On regional inequality and diverging clubs: a case study of contemporary China</a> S Yao, Z Zhang Journal of comparative economics 29 (3), 466-484	354	2001
<a href="#">Economic growth in the presence of FDI: The perspective of newly industrialising economies</a> S Yao, K Wei Journal of Comparative Economics 35 (1), 211-234	301	2007
<a href="#">Renewable energy, carbon emission and economic growth: A revised environmental Kuznets Curve perspective</a> S Yao, S Zhang, X Zhang Journal of Cleaner Production 235, 1338-1352	277	2019
<a href="#">Bank ownership, privatization, and performance: Evidence from a transition country</a> C Jiang, S Yao, G Feng Journal of banking & finance 37 (6), 1364-1372	272	2013

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